## LAP15 Rec'd PCT/PTO 20 SEP 2006

Send to: Mail Stop PCT, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

FORM PTO-1390 (REV 27-2005)			U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 8932-1315-999	
TRANSMITTAL LETTER TO THE UNITED STATES					
				U.S. APPLICATION NO. (if known, see 37CFR 1.5)	
CONCERNING A SUBMISSION UND INTERNATIONAL APPLICATION NO. INTERNATIONAL			ON UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/CH2004/000187 March 26, 2004			March 26, 2004		
TITLE OF INVENTION ARTICULATED BONE SCREW					
APPLICANT(S) FOR DO/EO/US Alfred Niederberger; Christian Van Der Werken					
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:					
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	$\boxtimes$	The U.S. has been elected (Article 31).			
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
		a.  is attached hereto (required only if not communicated by the International Bureau).			
			ted by the International Bureau.	ving Office (PO/US)	
6.	⊠	c. is not required, as the application was filed in the United States Receiving Office (RO/US).  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
		a.  is attached hereto.			
		b. has been previously s	submitted under 35 U.S.C. 154(d)(4).		
7.	$\boxtimes$	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
		a. are attached hereto (required only if not communicated by the International Bureau).			
		<ul> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> </ul>			
		d. have not been made a		•	
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11. to 20. below concern document(s) or information included:					
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	$\boxtimes$	A preliminary amendment.			
14.		An Application Data Sheet under 37 CFR 1.76.			
15.	$\boxtimes$	A substitute specification.			
16.		A power of attorney and/or change of address letter.			
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.			
		A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).			
20.		Other items or information:			

This collection of information is required by 37 CPR 1.414 and 1.49.1-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

US APPLICATION NO 8932-1315-999 PCT/CH2004/000187 The following fees are submitted: \$300.00 21. Sasic national fee ...... 22. X Examination fee..... Was the Written Opinion prepared by the ISA/USPTO or did the International Preliminary Examination Report prepared by the USPTO indicate that all filed claims in this U.S. application satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)?..... i) Yes (\$0.00)..... \$200.00 ☑ ii) No (\$200.00)..... 23. Search fee..... Did the Written Opinion of the ISA/USPTO or the International preliminary examination report prepared by the USPTO indicate that all claims satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)? ..... i) Yes (\$0.00)..... ii) If no: (a) A Search Fee has been paid on the international application to the USPTO as an International Search Authority. (\$100.00)..... (b) An International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the \$400.00 US by the IB. (\$400.00) ..... (c) All other situations (\$500.00)..... \$900.00 \$ TOTAL OF CALCULATIONS = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **RATE** Extra Sheets Number of each additional 50 or fraction Total Sheets thereof (round up to a whole number). \$0.00 0 /50 x 250.00 4 - 100Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$0.00 \$ claimed priority date (37 CFR 1.492 (e)). **NUMBER FILED** NUMBER EXTRA RATE X CLAIMS x \$50.00 \$0.00 Total Claims 20 - 20 0 \$0.00 0 x \$200.00 Independent Claims \$0.00 ☐ MULTIPLE DEPENDENT CLAIM(S) (if applicable) +360.00\$900.00 TOTAL OF ABOVE CALCULATIONS= \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$0.00 - \$ by 50%. \$900.00 \$ SUBTOTAL = Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest \$0.00 claimed priority date (37 CFR 1.492(f)). \$900.00 \$ TOTAL NATIONAL FEE Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be \$0.00 \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property \$900.00 TOTAL FEES ENCLOSED Amount to be refunded: charged: a. A check in the amount of to cover the above fees is enclosed. This is an estimate of the fees due. Please charge Deposit Account b. 🔯 No. 50-3013 to cover the required fees. A copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. 🛛 Account No. 50-3013. A copy of this sheet is enclosed. Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. JONES DAY 51832. All correspondence for this application should be mailed to 24. All telephone inquiries should be made to September 20, 2006 54,390 Brent P. Ray NAME

TA 20 SEP 2006

## 10/593600 IAP9/Rec'd PCT/PTO 2 0 SEP 2006

2052/NZ

Re: International Patent Application No. PCT/CH04/000187 "Articulated bone screw" in the name of Synthes GmbH (English translation of PCT Application)
I WALTER J. HERZBERC
5-21 ELIZABETII ST.
FAIR LAWN, NJ 0740

do hereby certify that I am conversant with the English and German languages, and am a competent translator thereof, and I further certify that to the best of my knowledge and belief the attached document is a true and correct translation made by me of the documents in the German language attached hereto.

Signature of translator: Walter ##egby

Dated

Spi. 19, 2006